

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

MAR 3 0 2012

MAIL: 135 STATE HOUSE STATION, AUGUSTA, MAINE 04333 OFFICE: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE

□ Update

WEBSITE: WWW.MAINE.GOV/ETHICS

PHONE: 207-287-4179 FAX: 207-287-6775

STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2011 Calendar Year: January 1, 2011 - December 31, 2011

Please file this statement with the <u>Maine Ethics Commission</u> by **5:00 p.m. on April 13, 2012.** Please contact Commission staff at 287-4179 or come to the Commission office at 45 Memorial Circle, Augusta, if you have any questions about this form, your reporting requirements, or how to report specific situations.

Reporting Deadlines

- This personal financial disclosure statement must be filed annually by the Governor, constitutional
 officers, State Auditor, all state employees in major policy-influencing positions (other than assistant
 attorneys general), and any other executive branch employee who is appointed by the Governor and
 confirmed by the Legislature.
- The statement must be filed by the close of the second week of April and covers the preceding calendar year (the reporting year).
- No statement is required in April if the executive employee has already filed a statement covering the
 preceding year as an initial report. (Employees appointed by the Governor must file an initial report
 before confirmation by the Legislature, and the Governor, constitutional officers, and State Auditor
 must file an initial report within 30 days of his or her election.)
- If there is a substantial change in the sources of your income or positions <u>during the current</u> calendar year, file an "update statement" for the current year within 30 days of the substantial change.

General Instructions

⊭ Annual

Email Address

Complete all sections. If a section is not applicable, check the box marked "None."

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- A glossary is located in the back of this form.
- If completing this form by hand, please write legibly.

John. Rogens @ MAINE gov

REPORT TYPE

EXECUTIVE EMPLOYEE INFORMATION				
Name	Job Title			
John B. Rogens	Director			
Department \(\text{\text{\$\sigma}} \)	Phone (Work)			
MAINE CHAINA Justice Academy	207-877-80 11			
Mailing Address - OATE GIOUE TEN VASCA/BONG ME 04989				

None. Check this box if you do not have income from employment by another.			
Name of Employer	Address	Principal Type of Economic or Business Activity of Employer	Job Title
	A A A A A A A A A A A A A A A A A A A		
			14000

None. Check this box if you do not have income from self-employment.		
Name of Your Business/Trade Name	Address	Principal Type of Economic or Business Activity
Name of Client or Customer, if required (see instructions)	Address	Principal Type of Economic or Business Activity of Client
		,

Part 3. Income from the Practice of Law None. Check this box if you do not have income from the practice of law.				
Name of Practice or Firm	Address	Your Major Areas of Practice	Firm's Major Areas of Practice	Position: Partner, Associate, Sole Practitioner

□ None. Check this box if you do not ha	ave income from any other source.	
Name of Source	Address	Type of Income
volls FARgo Advisors 1	North Jedlenson ST. Louis, MO 63/03	Mutual Ford

mployer's Name and Address	Principal Type of Economic or Business Activity of Employer

None. Check this box if no members of your immediate family derived income of \$1,000 or more from any other source.		
Name of Spouse or Partner (do not list name of dependent child)	Source's Name and Address	Type of Income

Part: 6: Loans None. Check this box if you do not have reportable liabilities.			
Lender's Name	Lender's Address	Principal Type of Economic or Business Activity of Lender	
	A Control of the Cont		

None. Check this box if you have not received any gifts.		
Source of Gift	Source of Gift	
1.	4.	
2	5.	
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3.	6.	

Source of Honoraria

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dual Receiving (Compensation
l positions in any	/ for-profit or non-
Relationship to Executive Employee	Compensated Yes/No
Self Spouse Dependent	NO
≮Self a Spouse a Dependent	NO
a Self a Spouse a Dependent	
- F	Relationship to Executive Employee Self Spouse Dependent Self Spouse Dependent Self Spouse Dependent

SIGNATURE

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWELDGE IT IS TRUE, CORRECT, AND COMPLETE.

Sighature

Date

UNSWORN FALSIFICATION IS A CLASS D CRIME (17-A M.R.S.A. §453)